

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Souza et al.

Application No.

10/519,782

Filed (35 U.S.C. § 363) :

July 1, 2003 (as PCT/US2003/020633)

For

NIGHTLIGHT, LED POWER SUPPLY CIRCUIT, AND

COMBINATION THEREOF

This application is the U.S. national stage of International Application PCT/US2003/020633 designating the United States and filed July 1, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF MISSING REQUIREMENTS, PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. § 1.136(a) AND PETITION UNDER 37 C.F.R. § 1.47(a)

Sir:

The above-identified application, which is the U.S. national stage of international application PCT/US2003/020633 designating the United States and filed July 1, 2003, is a continuation-in-part of prior U.S. Application No. 10/188,533 filed July 2, 2002 (now U.S. Patent No. 6,824,296 issued November 30, 2004).

Petition for Extension of Time under 37 C.F.R. § 1.136(a)

The applicants petition the Commissioner to extend the time for response to the outstanding Notice of Missing Requirements Under 35 U.S.C. § 371, mailed July 6, 2005, for a period of five months from September 6, 2005 to and including February 6, 2006.

The Commissioner is hereby authorized to charge the extension fee of \$ 2160.00 under 37 C.F.R. § 1.17(a)(5) to Deposit Account No. 50-1561 of Greenberg Traurig, LLP. Any deficiency in or overpayment of fees in connection with this Petition should likewise be charged or credited to Deposit Account No. 50-1561 of Greenberg Traurig, LLP.

Response to Notice of Missing Requirements

In response to the Notice of Missing Requirements, a Combined Declaration and Power of Attorney is submitted herewith, executed by inventors WILSON BRUNKHURST, ANTHONY PACITTO, JENKIN HUA, JOSEPH DIORIO and MEHMET TANACAN. A Petition Under 37 C.F.R. § 1.47(a) regarding nonsigning inventors MICHAEL SOUZA and JOHN FERREIRA appears below.

A copy of the Notice of Missing Requirements accompanies this Response.

The Commissioner is hereby authorized to charge the surcharge fee of \$ 130.00 under 37 C.F.R. § 1.16(f) to Deposit Account No. 50-1561 of Greenberg Traurig, LLP. Any deficiency in or overpayment of fees in connection with this Response should likewise be charged or credited to Deposit Account No. 50-1561 of Greenberg Traurig, LLP.

Petition Under 37 C.F.R. § 1.47(a)

Joint inventors WILSON BRUNKHURST, ANTHONY PACITTO, JENKIN HUA, JOSEPH DIORIO and MEHMET TANACAN petition the Commissioner under 37 C.F.R. § 1.47(a) to make this application on behalf of themselves and on behalf of nonsigning inventors MICHAEL SOUZA and JOHN FERREIRA.

(1) Each of the inventors, including MICHAEL SOUZA and JOHN FERREIRA, was presented with a Combined Declaration and Power of Attorney for his signature. A signature block for each inventor was provided on each Declaration. All of the available joint inventors (WILSON BRUNKHURST, ANTHONY PACITTO, JENKIN HUA, JOSEPH DIORIO and MEHMET TANACAN) signed the Declaration, as shown by the documents submitted herewith; the signature blocks of the nonsigning inventors remain blank. MPEP § 409.03(a)(A).

- (2) Inventors MICHAEL SOUZA and JOHN FERREIRA, after being presented with the application papers; each made an express oral refusal to sign the papers. A supporting statement by RONNIE PARSOLANO, having firsthand knowledge of these facts, is submitted herewith.

 MPEP §§ 409.03(a)(B)(2), 409.03(d).
- (3) The last known address of each nonsigning inventor is as follows. MPEP § 409.03(a)(C).

MICHAEL SOUZA 486 Chicago Street Fall River, MA 02721

JOHN FERREIRA 332 State Avenue Fall River, MA 02724

(4) The Commissioner is hereby authorized to charge the fee of \$ 200.00 under 37 C.F.R. § 1.17(g) to Deposit Account No. 50-1561 of Greenberg Traurig, LLP. Any deficiency in or overpayment of fees in connection with this Petition should likewise be charged or credited to Deposit Account No. 50-1561 of Greenberg Traurig, LLP.

It is earnestly believed that all of the requirements of 37 C.F.R. § 1.47(a) and MPEP §§ 409.03(a) and 409.03(d) have been met. Grant of this petition is therefore respectfully requested.

The applicants' undersigned attorney may be reached by telephone at 212-801-2108. All correspondence should continue to be directed to the address listed below, which is the address associated with Customer Number 31108.

02/13/2006 MKAYPAGH 00000043 501561 10519782

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200.00 DA

Respectfully submitted,

Paul Sutton Reg. No. 24,201

Greenberg Traurig, LLP MetLife Building 200 Park Avenue New York, NY 10166

Date: February 6, 2006

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DA-C\$ 267-001-2003CIP/US

EXPERIENTED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Souza et al.

Application No.

10/519,782

Filed (35 U.S.C. § 363) :

July 1, 2003 (as PCT/US2003/020633)

For

NIGHTLIGHT, LED POWER SUPPLY CIRCUIT, AND

COMBINATION THEREOF

This application is the U.S. national stage of International Application PCT/US2003/020633 designating the United States and filed July 1, 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUBMISSION OF APPLICATION DATA SHEET UNDER 37 C.F.R. § 1.76, CLAIM TO DOMESTIC PRIORITY UNDER 35 U.S.C. § 120 AND 37 C.F.R. § 1.78 AND PETITION UNDER 37 C.F.R. § 1.78(a)(3)

Sir:

The above-identified application, which is the U.S. national stage of international application PCT/US2003/020633 designating the United States and filed July 1, 2003, is a continuation-in-part of prior U.S. Application No. 10/188,533 filed July 2, 2002 (now U.S. Patent No. 6,824,296 issued November 30, 2004).

An Application Data Sheet is submitted herewith containing the information required under 37 C.F.R. § 1.76(b).

It is respectfully noted that the Domestic Priority Information section of the application data sheet identifies the prior application and states the relationship between the prior application and the present application. This section of the application data sheet provides the reference

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required by 35 U.S.C. § 120, 37 C.F.R. § 1.78(a)(2) and MPEP § 201.11(III)(A). Accordingly, benefit of the filing date of prior Application No. 10/188,533 is claimed under 35 U.S.C. § 120.

Petition Under 37 C.F.R. § 1.78(a)(3)

The present application entered the national stage from an international application under 35 U.S.C. § 371 on December 29, 2004. The above-noted claim to priority was due under 37 C.F.R. 1.78(a)(2)(ii) on April 29, 2005. The applicants petition the Commissioner under 37 C.F.R. § 1.78(a)(3) to accept a delayed claim to priority under 35 U.S.C. § 120.

The reference required by 35 U.S.C. § 120 and 37 C.F.R. § 1.78(a)(2) to the prior-filed application appears in the application data sheet submitted herewith. 37 C.F.R. § 1.78(a)(3)(i).

The Commissioner is hereby authorized to charge the petition fee of \$ 1370.00 under 37 C.F.R. § 1.17(t) to Deposit Account No. 50-1561 of Greenberg Traurig, LLP. Any deficiency in or overpayment of fees in connection with this Petition should likewise be charged or credited to Deposit Account No. 50-1561 of Greenberg Traurig, LLP. 37 C.F.R. § 1.78(a)(3)(ii).

The claim to priority is being made on the present date. The entire delay between the date the claim was due under 37 C.F.R. § 1.78(a)(2)(ii) and the present date was unintentional. 37 C.F.R. § 1.78(a)(3)(iii).

It is earnestly believed that all of the requirements of 37 C.F.R. § 1.78(a)(3) have been met. Accordingly, grant of this petition is respectfully requested.

The applicants' undersigned attorney may be reached by telephone at 212-801-2108. All correspondence should continue to be directed to the address listed below, which is the address associated with Customer Number 31108.

Respectfully submitted,

Paul Sutton Reg. No. 24,201

Greenberg Traurig, LLP MetLife Building 200 Park Avenue New York, NY 10166

Date: February 6, 2006

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IAP6 Rec'd PCT/PTO 21 FEB 2006

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	Application Number	10/519,782					
O P FTRANSMITTAL	Filing Date	July 1, 2003					
ર્જુ∖ FORM	First Named Inventor	Michael Souza					
FEB 2 1 2006)	Art Unit	TBD					
(to be used factor correspondence after initial filing)	Examiner Name	TBD					
to be used and correspondence are united mining	Attorney Docket Number	0267-001-2003CIP					
ENCLOSURES (Check all that apply)							
Fee Transmittal Form Fee Attached	Drawing(s) Licensing-related Papers	After Allowance Communication to TC Appeal Communication to Board					
Amendment/Reply Preliminary Amendment Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement IDS By Applicant- Form PTO/SB/08a Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence of Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CI	Address Status Letter Other Enclosure(s) (please Identify below): 1. Return postal card					
		- 9 JUN 2000					
<u> </u>	OF APPLICANT, ATTO	RNEY, OR AGENT					
Firm Name Greenberg Traurig, LLP		RNEY, OR AGENT International Staff					
Signature _		TISION.					
Printed name Paul J. Sutton							
Date February 16, 2006		Reg. No. 24,201					
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:							
Signature	, Quikins						
Typed or printed name Marilyn Dawkins Date 02/16/2006							

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time, will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call-1-800-PTO-9199 and select option 2.

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PTO/SB/17 (12-04) Approved for use through 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 12/08/2004. Complete if Known uant to the Consolidated Appropriations Act, 2005 (H.R. 4818) Application Number 10/519,782 FEE TRANSMITTA Filing Date July 1, 2003 For FY 2005 First Named Inventor Michael Souza **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit TBD TOTAL AMOUNT OF PAYMENT Attorney Docket No. 0267-001-2003CIP METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 50-1561 Deposit Account Name: Greenberg Traurig, LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES** Small Entity **Small Entity Small Entity** Application Type Fee (\$) Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 \$0 150 500 250 200 100 \$0 Design 200 100 100 50 130 65 02/10/2006 MRRHISAGH 00000111 50156200 10519760 \$0 300 150 160 80 01 FC:1617 Reissue 30.00 DA 300 500 150 250 600 300 Provisional 200 100 n n 2. EXCESS CLAIM FEES **Small Entity** Fee Description Fee (\$) Fee (\$) Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 Multiple dependent claims 180 **Total Claims** Extra Claims Fee Paid (\$) Multiple Dependent Claims Fee (\$) - 20 or HP = \$0 Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 \$0 Extra Claims Indep. Claims Fee (\$) Fee Paid (\$) - 3 or HP = __ 0 \$0 HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Extra Sheets Number of each additional 50 or fraction thereof **Total Sheets** Fee (\$) 0.0 (round up to a whole number) x 18 0 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other: Late oath or declaration Surcharge \$130

Submitted by

Signature

Registration No. (Attorney/Agent)

Name (Print/Type) Paul J. Sutton

Registration No. (Attorney/Agent)

Date February 6, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Attorney Docket No. 0267-001-2003CIP/US IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Souza et al.

SERIAL NO.: 10/519,782

FILING DATE: 07/01/2003

TITLE: Nightlight, Led Power Supply Circuit, And Combination Thereof

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

Express Mail label number: EV 570900971 US

Date of Deposit: 02/06/2006

I hereby state that the following attached papers or fees

- 1. Petition For Extension of Time Under 37 CFR 1.136(a) (5 months) (1 page) in duplicate
- Response To Notice Of Missing Requirements, Petition For Extension of Time Under 37 C.F. R.136(a), and Petition Under 37 C.F.R. 1.47(a) (3 pages)
- 3. Copy of Notification of Missing Requirements Under 35 U.S.C. 371 in the US Designated/Elected Office (DO/EO/US) (2 pages)
 4. Executed Declaration (16 pages)
 5. Fee Transmittal (1 page) in duplicate (late oath surcharge)
 6. Fee Transmittal (1 page) in duplicate (Petition Under 37 CFR 1.47(a))

- 6. Statement By Ronnie Parsolano Under MPEP 409.03(d) In Support of Petition Under 37 C.F.R. 1.47(a) with Exhibits A-C (8 Pages)
- Submission of Application Data Sheet Under 37 C.F.R 1.76, Claim to Domestic Priority Under 35 U.S.C. 120 and 37 C.F.R. 1.78 and Petition Under 37 C.F.R 1.78(a)(3) (8 pages)
 FeeTransmittal (1 page) in duplicate (Petition Under 37 CFR 1.78(a)(3))
- 8. Return receipt postal card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop Missing fluts.

Nydia Rivera-De La Hoz

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Page 1 of 2



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandris, Viginia 22313-1450 www.usptu.guv

ALIENTED TELL

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U.S. APPLICATION NUMBER	NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.		
10/519,782		Michael Souza	2003CIPPCT		
			INTERNATIONAL APPLICATION NO.		
031108			PCT/US03/20633		
PAUL J. SUTTON, ESQ., BA	RRY G MAGIC	CIDOFE ESO	LA. FILI	NG DATE	PRIORĮŤY DATE
GREENBERG TRAURIG, LL		67	07/01	1/2003	07/02/2002
200 PARK AVENUE NEW YORK, NY 10166 DUE 1 MO.	DUE	200 3CIP ATTY PUS 5-ept. 6, 2005 CALL-UP Aug. 6, 2005	CONFIRMATION NO. 2989 371 FORMALITIES LETTER COC000000016445588*		
Date Mailed: 07/06/2005	BY	2/m			

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/29/2004
- Request for Immediate Examination filed on 12/29/2004
- U.S. Basic National Fees filed on 12/29/2004
- Priority Documents filed on 12/29/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/519,782	PCT/US03/20633	2003CIPPCT

FORM PCT/DO/EO/905 (371 Formalities Notice)